"Zur vierdimensionalen Formulierung der undulatorischen Mechanik," Ann. Phys. (Leipzig) (4) 81 (1926), 632-636.

On the four-dimensional formulation of wave mechanics

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In his first communication (¹) on wave mechanics, **Schrödinger** introduced the substitution:

(1)
$$W = \frac{h}{2\pi} \log \psi$$

into Hamilton's partial differential equation:

$$H\left(q_i,\frac{\partial W}{\partial q_i}\right) - E = 0$$

and derived the wave equation from the variational principle:

$$\delta \iiint \left[H\left(q_i, \frac{h}{2\pi} \frac{1}{\psi} \frac{\partial \psi}{\partial q_i}\right) - E \right] \psi \, dx \, dy \, dz = 0 \,,$$

In the second communication $(^2)$, he dropped the relation (1) and used a different one that was based upon an adaptation of **de Broglie**'s ideas about wave mechanics. The starting point was the relation:

$$(\text{grad } W)^2 = 2 (E - V),$$

which represents **Hamilton**'s partial differential equation in classical mechanics (E = energy, V = static potential). Based upon the construction of the surfaces W = const., that gave the phase velocity:

$$u=\frac{E}{\sqrt{2(E-V)}}\,.$$

In the associated wave equation:

^{(&}lt;sup>1</sup>) E. Schrödinger, Ann. Phys. (Leipzig) **79** (1926), pp. 361.

⁽²⁾ E. Schrödinger, Ann. Phys. (Leipzig) 79 (1926), pp. 489.

$$\Delta \psi - \frac{1}{u^2} \frac{\partial^2 \psi}{\partial t^2} = 0 ,$$

one substitutes:

 $\psi = e^{2\pi i E t/h} \cdot \psi_1,$

in which ψ_1 is independent of time. It will then follow that:

$$\Delta \psi_1 + \frac{8\pi^2}{h^2} (E - V) \psi_1 = 0 \; .$$

As **Schrödinger** also emphasized, it does not seem that one can find an analogue of that process in relativistic mechanics in the presence of the four-potential.

V. Fock (¹) had also exhibited the wave equation for three-dimensional mechanics. He substituted the Ansatz:

$$\frac{\partial W}{\partial t} = -E, \quad \frac{\partial W}{\partial q_i} = -E \frac{\frac{\partial \psi}{\partial q_i}}{\frac{\partial \psi}{\partial t}},$$

in

$$H\left(q_i, \frac{\partial W}{\partial q_i}\right) + \frac{\partial W}{\partial t} = 0$$

and applied the variational principle:

$$\delta \int \left[H \left(q_i, -E \frac{\frac{\partial \psi}{\partial q_i}}{\frac{\partial \psi}{\partial t}} \right) - E \right] \left(\frac{\partial W}{\partial t} \right)^2 d\Omega = 0,$$

in which $d \Omega = dt dq_1 dq_2 dq_3$.

In what follows, we will derive the wave equation for relativistic mechanics in the general case where a four-potential exists by adopting the viewpoint of **Schrödinger**'s first method.

The metric (in rectangular spatial coordinates) is:

(2)
$$ds^{2} = dx_{0}^{2} - (dx_{1}^{2} + dx_{2}^{2} + dx_{3}^{2}),$$

in which $x_0 = c t$. The equations of motion of the electron can be derived from the Lagrange function (²):

^{(&}lt;sup>1</sup>) **V. Fock**, Zeit. Phys. **38** (1926), pp. 242.

 $^(^2)$ e = charge of the electron, m - rest mass. The Ansatz:

$$L = \frac{1}{2}mc^2 \left[\left(\frac{dx_0}{ds} \right)^2 - \sum_{i=1}^3 \left(\frac{dx_i}{ds} \right)^2 \right] + e \sum_{i=1}^3 \varphi_k \frac{dx_k}{ds},$$

in which φ_i means the components of the four-potential. If we start with the Hamiltonian function:

(3)
$$H = \frac{1}{2}mc^2 \left[\left(\frac{dx_0}{ds} \right)^2 - \sum_{i=1}^3 \left(\frac{dx_i}{ds} \right)^2 \right]$$

and introduce the impulse coordinates:

(4)
$$\frac{\partial L}{\partial \frac{dx_0}{ds}} = mc^2 \frac{dx_0}{ds} + e \varphi_0 = \frac{\partial W}{\partial x_0},$$

(5)
$$\frac{\partial L}{\partial \frac{dx_i}{ds}} = -mc^2 \frac{dx_i}{ds} + e \,\varphi_i = \frac{\partial W}{\partial x_i} \qquad (i = 1, 2, 3),$$

then Hamilton's partial differential equation will become:

(6)
$$\frac{1}{2mc^2} \left[\left(\frac{\partial W}{\partial x_0} - e \,\varphi_0 \right)^2 - \sum_{i=1}^3 \left(\frac{\partial W}{\partial x_i} - e \,\varphi_i \right)^2 \right] + \frac{\partial W}{\partial s} = 0 \; .$$

Since the proper time *s* does not occur explicitly, one has $\frac{\partial W}{\partial s} = \text{const.}$, and indeed from (2) and (3):

$$L = mc^2 \sqrt{\left(\frac{dx_0}{ds}\right)^2 - \left[\left(\frac{dx_1}{ds}\right)^2 + \left(\frac{dx_2}{ds}\right)^2 + \left(\frac{dx_3}{ds}\right)^2\right]} + e\sum_{i=0}^3 \varphi_i \frac{dx_i}{ds}$$

is not applicable, since the associated Hamiltonian function:

$$H = \sum_{i=0}^{3} \frac{\partial L}{\partial \frac{dx_i}{ds}} \frac{dx_i}{ds} - L$$

vanishes identically. After eliminating proper time, one will have:

$$L = -mc^2 \sqrt{1 - \frac{1}{c^2} \left[\left(\frac{dx_1}{ds}\right)^2 + \left(\frac{dx_2}{ds}\right)^2 + \left(\frac{dx_3}{ds}\right)^2 \right]} + e \left(\varphi_0 + \frac{1}{e} \sum_{i=0}^3 \varphi_i \frac{dx_i}{ds}\right).$$

Cf. my note: Phys. Zeit. 26 (1925), pp. 207.

(7)
$$\frac{\partial W}{\partial s} = -\frac{1}{2}mc^2$$

When the four-potential does not include the cosmic time x_0 , there will exist an energy integral:

(8)
$$\frac{\partial W}{\partial x_0} = \text{const.} = E$$
.

We will consider this case later. For the time being, the law of energy will not be assumed. In order to arrive at the wave equation, we set:

(9)
$$W = \frac{eh}{2\pi i} \log \psi \qquad (i = \sqrt{-1}).$$

It follows (7) that:

(10)
$$\frac{eh}{2\pi i}\frac{1}{\psi}\frac{\partial\psi}{\partial s} = -\frac{1}{2}mc^2,$$

so

(11)
$$\psi = e^{-2\pi i \varepsilon s/ch} \cdot \psi_0(x_0, x_1, x_2, x_3),$$

in which ψ_0 is independent of s, and $\varepsilon = \frac{1}{2}mc^2$. From (9) and (10), we get:

(12)
$$\frac{\partial W}{\partial x_i} = -\varepsilon \frac{\frac{\partial \psi}{\partial q_i}}{\frac{\partial \psi}{\partial t}} \qquad (i = 0, 1, 2, 3).$$

After substituting (7), (12) in (6), we will get:

(13)
$$\left(\varepsilon \frac{\partial \psi}{\partial x_0} + e \varphi_0 \frac{\partial \psi}{\partial s}\right)^2 - \sum_{i=1}^3 \left(\varepsilon \frac{\partial \psi}{\partial x_i} + e \varphi_i \frac{\partial \psi}{\partial s}\right)^2 - 4\varepsilon^2 \left(\frac{\partial \psi}{\partial s}\right)^2 = 0.$$

Corresponding to **Schrödinger**'s line of reasoning (¹), instead of that differential equation, we shall consider the variational principle:

(14)
$$\delta \int Q \, d\omega = 0 \,,$$

in which $d\omega = dx_0 dx_1 dx_2 dx_3 ds$, and Q denotes the quadratic form on the left-hand side of (13).

After an easy calculation (by partial integration), we will get the following wave equation from (14):

^{(&}lt;sup>1</sup>) **E. Schrödinger**, *loc. cit.*, I. Mitteilung.

(15)
$$\varepsilon^{2} \operatorname{Div} \operatorname{grad} \psi + \varepsilon e \operatorname{Div} \Phi \cdot \frac{\partial \psi}{\partial s} + 2\varepsilon e \left(\Phi \operatorname{Grad} \frac{\partial \psi}{\partial s} \right) + (e^{2} \Phi^{2} - 4\varepsilon^{2}) \frac{\partial^{2} \psi}{\partial s^{2}} = 0,$$

in which:

Div grad
$$\psi = \frac{\partial^2 \psi}{\partial x_0^2} - \sum_{i=1}^3 \frac{\partial^2 \psi}{\partial x_i^2},$$

Div $\Phi = \frac{\partial \varphi_0}{\partial x_0} - \sum_{i=1}^3 \frac{\partial \varphi_i}{\partial x_i},$
 $\left(\Phi \operatorname{Grad} \frac{\partial \psi}{\partial s}\right) = \varphi_0 \frac{\partial^2 \psi}{\partial s \partial x_0} - \sum_{i=1}^3 \varphi_i \frac{\partial^2 \psi}{\partial s \partial x_i},$
 $\Phi^2 = \varphi_0^2 - \sum_{i=1}^3 \varphi_i^2,$
 $\varepsilon = \frac{1}{2}mc^2.$

We now consider the special case in which $\varphi_1 = \varphi_2 = \varphi_3 = 0$, and $e \varphi_0 = V(x_1, x_2, x_3)$ is independent of x_0 . If we set:

$$\psi = e^{-2\pi i \varepsilon s/ch} e^{2\pi i E x_0/ch} \cdot \overline{\psi}(x_1, x_2, x_3)$$

then (8) will also be fulfilled formally when we recall (9). The wave equation (15) will reduce to:

(16)
$$\Delta \bar{\psi} + \frac{4\pi^2}{c^2 h^2} [(E - V)^2 - m^2 c^4] \bar{\psi} = 0.$$

We can also arrive at this latter equation by the second **Schrödinger** method (¹). Namely, **Hamilton**'s partial differential equation is:

$$(\text{grad } W)^2 = (E - V)^2 - m^2 c^4$$

in this case, where grad W is taken to be three-dimensional. Here, E is normalized such that the rest energy of the electron will amount to mc^2 , according to (8), (4). If we put $mc^2 + E$ in place of E and set $V = -e^2/r$ then (16) will go to equation (27) in Fock (²). It should be remarked that the phase velocity that enters into (16) agrees with the **de Broglie** Ansatz (³) for the index of refraction.

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^{(&}lt;sup>1</sup>) **E. Schrödinger**, II. Mitteilung. – **Oskar Klein** [Zeit. Phys. **37** (1926), pp. 895] has derived our equation (16) in the context of the five-dimensional theory of relativity.

^{(&}lt;sup>2</sup>) **V. Fock**, *loc. cit.*, pp. 247.

^{(&}lt;sup>3</sup>) L. de Broglie, Journal de Physique, January 1926.